

TABLE 53

### Mutual Funds by Market Capitalization: Number of Funds and Number of Share Classes by Type of Fund

Year-end

| Year | Number of funds |         |           |           |             |         |           |           |             |         |           |           |
|------|-----------------|---------|-----------|-----------|-------------|---------|-----------|-----------|-------------|---------|-----------|-----------|
|      | Growth funds    |         |           |           | Value funds |         |           |           | Blend funds |         |           |           |
|      | Small cap       | Mid cap | Large cap | Multi cap | Small cap   | Mid cap | Large cap | Multi cap | Small cap   | Mid cap | Large cap | Multi cap |
| 2007 | 246             | 246     | 351       | 209       | 219         | 196     | 400       | 200       | 196         | 145     | 489       | 254       |
| 2008 | 237             | 245     | 348       | 208       | 221         | 202     | 395       | 203       | 202         | 148     | 490       | 253       |
| 2009 | 213             | 220     | 331       | 190       | 202         | 191     | 369       | 190       | 177         | 139     | 463       | 245       |
| 2010 | 207             | 207     | 317       | 181       | 197         | 186     | 358       | 192       | 171         | 133     | 457       | 234       |
| 2011 | 204             | 198     | 310       | 169       | 203         | 182     | 339       | 201       | 173         | 139     | 440       | 226       |
| 2012 | 198             | 189     | 300       | 164       | 209         | 180     | 328       | 211       | 167         | 135     | 420       | 226       |
| 2013 | 191             | 180     | 288       | 165       | 205         | 177     | 321       | 213       | 179         | 130     | 413       | 229       |
| 2014 | 188             | 179     | 289       | 160       | 213         | 187     | 324       | 218       | 188         | 128     | 416       | 234       |
| 2015 | 183             | 179     | 287       | 160       | 214         | 189     | 323       | 213       | 199         | 134     | 423       | 235       |
| 2016 | 182             | 173     | 267       | 158       | 213         | 188     | 322       | 206       | 201         | 134     | 416       | 239       |
| 2017 | 179             | 164     | 262       | 155       | 210         | 187     | 323       | 206       | 200         | 134     | 404       | 236       |

  

| Year | Number of share classes |         |           |           |             |         |           |           |             |         |           |           |
|------|-------------------------|---------|-----------|-----------|-------------|---------|-----------|-----------|-------------|---------|-----------|-----------|
|      | Growth funds            |         |           |           | Value funds |         |           |           | Blend funds |         |           |           |
|      | Small cap               | Mid cap | Large cap | Multi cap | Small cap   | Mid cap | Large cap | Multi cap | Small cap   | Mid cap | Large cap | Multi cap |
| 2007 | 653                     | 702     | 960       | 544       | 582         | 533     | 1,106     | 532       | 480         | 371     | 1,256     | 684       |
| 2008 | 652                     | 695     | 1,004     | 548       | 595         | 563     | 1,109     | 551       | 471         | 402     | 1,302     | 708       |
| 2009 | 606                     | 635     | 957       | 530       | 560         | 530     | 1,024     | 503       | 450         | 379     | 1,248     | 718       |
| 2010 | 580                     | 611     | 917       | 520       | 561         | 540     | 1,025     | 516       | 431         | 356     | 1,235     | 700       |
| 2011 | 591                     | 594     | 905       | 493       | 582         | 543     | 994       | 545       | 436         | 377     | 1,224     | 695       |
| 2012 | 581                     | 570     | 892       | 474       | 598         | 549     | 954       | 570       | 438         | 373     | 1,184     | 715       |
| 2013 | 560                     | 557     | 875       | 485       | 593         | 554     | 948       | 599       | 478         | 361     | 1,184     | 741       |
| 2014 | 560                     | 558     | 888       | 465       | 610         | 592     | 979       | 621       | 521         | 350     | 1,213     | 746       |
| 2015 | 550                     | 565     | 904       | 466       | 626         | 601     | 998       | 605       | 568         | 350     | 1,242     | 777       |
| 2016 | 575                     | 560     | 845       | 467       | 645         | 607     | 1,009     | 584       | 596         | 373     | 1,224     | 783       |
| 2017 | 596                     | 546     | 817       | 473       | 643         | 616     | 1,004     | 616       | 603         | 373     | 1,191     | 774       |

Note: Market capitalization indicates the size of the companies in which a fund invests: small cap (generally up to \$2 billion), mid cap (generally \$1 billion to \$7 billion), large cap (generally more than \$5 billion), and multi cap (generally not limited to company size). Data for funds that invest primarily in other mutual funds were excluded from the series.